

Summary report as per RTS28 for SEB SA

Year: 2017

Instrument class: Securitized Derivatives

Execution factors

The execution factors listed below indicates the order of relative priority which typically was given to them. However, a variety of criteria was taken into account when assessing their prioritization in relation to circumstances for each specific transaction.

- Price;
- Market availability and opening hours;
- Speed of execution;
- Transaction costs and risks relevant to the execution;
- Market liquidity and volatility;
- Size and nature of the order;
- Nature of the market for the financial instrument;
- Any other consideration deemed relevant to the execution of an order

Brokers

A large part of the clients' orders was executed through SEB AB acting as a broker. SEB AB is the mother company of SEB SA.

SEB SA did not have any specific arrangements with any broker regarding payments made or received, discounts, rebates or non-monetary benefits other than general discounts or rebates that trading venues offer in their public fee list.

Clients

All clients trading certificates and warrants/OTC options with SEB SA received pricing based on the same underlying market price. SEB did not use client categorization to affect prices that were offered to clients.