

Summary report as per RTS28 for SEB SA

Year: 2017

Instrument class: Other instruments

Execution factors

The execution factors listed below indicates the order of relative priority which typically was given to them. However, a variety of criteria was taken into account when assessing their prioritization in relation to circumstances for each specific transaction.

- Price;
- Market availability and opening hours;
- Speed of execution;
- Transaction costs and risks relevant to the execution;
- Market liquidity and volatility;
- Size and nature of the order;
- Nature of the market for the financial instrument;
- Any other consideration deemed relevant to the execution of an order

Brokers

A large part of the clients' orders was executed through SEB AB acting as a broker. SEB AB is the mother company of SEB SA.

SEB SA did not have any specific arrangements with any broker regarding payments made or received, discounts, rebates or non-monetary benefits other than general discounts or rebates that trading venues offer in their public fee list

Clients

All clients trading investment products with SEB received pricing based on the same underlying market price.